



बीमा विनियामक और विकास प्राधिकरण
INSURANCE REGULATORY AND
DEVELOPMENT AUTHORITY

Ref: IRDA/RW/IR5/2015/019

Date:01/01/2017

To

The Chief Executive Officer,
SPA INSURANCE BROKING SERVICES LIMITED
602 EMBASSEY CENTRE NARIMAN POINT MUMBAI 400021 INDIA

Sir,

Re: Grant of Certificate of Registration to act as an Approved Person

This has reference to your application dated 20/02/2015 seeking grant of Certificate of Registration to act as an Approved Person with CAMS Repository Services Limited.

The Authority is pleased to inform that the application for registration is approved. Your registration number is IRDA/RW/IR5/2015/019 and is valid for a period from 01/01/2017 to 31/12/2017.

Please note the following in this regard:

1. Comply with the IRDA Act, 1999, Insurance Act, 1938, all regulations, guidelines, circulars and instructions issued by IRDA from time to time.
2. Adopt the best business practices and have fair dealings with the policyholders.
3. Intimate IRDA where necessary at all stages and in case of all developments which include any change in company's name, CEO, capital, structural changes, changes in key personnel and in all other related matters.
4. Refrain from solicitation of insurance business as required under guideline 4 (10) of the guidelines on insurance repositories and electronic issuance of insurance policies. Service of only those policies that are procured by you in your capacity as Insurance Intermediary (if any) shall be carried out.
5. To upfront declare the list of Insurance Repositories with whom you are associated for the purposes of opening of the e-Insurance account.
6. To cooperate and make available all records to the Inspection team nominated by IRDA.

Yours faithfully,

HOD (NL)
For and on behalf of IRDA.

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